

05-29-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

09792909-5037

First Named Inventor or Application Identifier

Akira Yamaguchi

Express Mail Label No: EL281568293

JC971 U.S. PTO  
09/866035

Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 73
2. ☒ Drawing(s) (35USC 113) Total Pages 9
3. ☒ Declaration and Power of Attorney Total Pages 3
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☒ Certified Copy of Japanese priority document  
No. P2000-157116 and No. P2000-200368.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional Continuation-in-part (CIP) ☐ of prior application No: \_\_\_\_\_

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	20	24	4	\$18	\$72
INDEPENDENT CLAIMS	03	3	0	80	0
ANY MULTIPLE DEPENDENT CLAIMS?					
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO					
TOTAL FEE					\$782

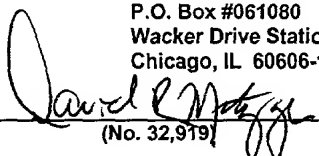
☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$782 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger, Esq.  
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SIGNATURE:



DATE: May 25, 2001

U-11

(No. 32,919)

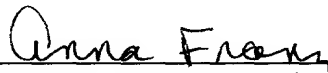
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Akira Yamaguchi et al. ATTORNEY DOCKET NO:  
09792909-5037  
SERIAL NO. GROUP ART UNIT:  
FILING DATE: EXAMINER:  
INVENTION: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

**EXPRESS MAIL CERTIFICATE OF MAILING**Express Mail" Mailing Label Number EL281568293USDate of Deposit May 25, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231:

1. Patent Application Transmittal
2. Filing Fee \$782
3. Specification (73)
4. Drawings (9 sheets)
5. Declaration and Power of Attorney (Unexecuted)
6. Certified Copy of Japanese Priority Document No. P2000-157116 and No. P2000-200368
7. Letter Under 37 CFR §1.41 (c)
8. Certificate of Express Mailing No: EL281568293US
9. Postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application

09792909-5037

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May 25 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

Re: New U.S. Application for Letters Patent  
Title: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"  
Applicant: Akira Yamaguchi, et al.  
Attorney Docket No: 09792909-5037

Dear Sir:

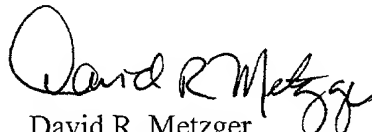
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 24 claims (3 independent), 9 sheets of drawings (Figs. 1-9), Unexecuted Declaration and Power of Attorney, Certified Copy of Japanese Priority Document No. P2000-157116 and Japanese Priority No. P2000-200368 and the filing fee of \$710. on behalf of

AKIRA YAMAGUCHI, ATSUO OMARU,  
MASAYUKI NAGAMINE and MINORU HASEGAWA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

  
David R. Metzger

Enclosures

09792909-5037